

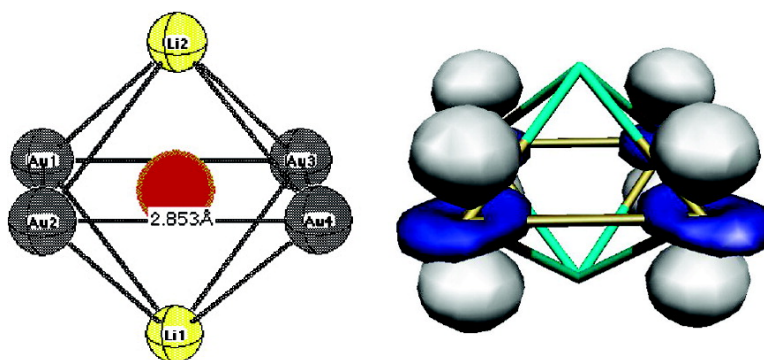
Article

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Evidence for d Orbital Aromaticity in Square Planar Coinage Metal Clusters

Chaitanya S. Wannere, Clémence Corminboeuf,* Zhi-Xiang Wang,
Matthew D. Wodrich, R. Bruce King,* and Paul v. R. Schleyer

Contribution from the Center for Computational Chemistry and Department of Chemistry,
University of Georgia, Athens, Georgia 30602

Received December 3, 2004; E-mail: Clemence.Corminboeuf@chiphys.unige.ch, rbking@sunchem.chem.uga.edu

Abstract: Quantitative evidence for the existence of aromaticity involving the d orbitals of transition metals is provided for the first time. The doubly bridged square planar (D_{4h}) coinage metal clusters (M_4Li_2 , $M = Cu$ (**1**), Ag (**2**), and Au (**3**)) are characterized as aromatic by their substantial nucleus independent chemical shifts (NICS) values in the centers (-14.5 , -14.1 , and -18.6 , respectively). Nevertheless, the participation of p orbitals in the bonding (and cyclic electron delocalization) of **1–3** is negligible. Instead, these clusters benefit strongly from the delocalization of d and to some extent s orbitals. The same conclusion applies to Tsipis and Tsipis' H-bridged D_{4h} Cu_4H_4 ring (**4**). Canonical MO–NICS analysis of structures **1–3** shows the total diatropic d orbital contributions to the total NICS to be substantial, although the individual contributions of the five sets of filled d orbitals vary. The d orbital aromaticity of Cu_4Li_2 also is indicated by its atomization energy, 243.2 kcal/mol, which is larger than Boldyrev's doubly (σ and π) aromatic Al_4Li_2 (215.9 kcal/mol).

Introduction

Cyclically delocalized transition metal rings using d instead of the usual p orbitals would constitute a new type of aromaticity. How can such “ δ -aromaticity” be achieved? The Hückel ($4n + 2$) π -electron rule has proven to be very robust: the concept of aromaticity is no longer limited to fully conjugated organic rings or even to nonmetals. The aromaticity of planar clusters comprising main group metal atoms was first recognized clearly by Shaik, Hiberty, and their co-workers, who discussed the cyclic delocalization of the σ -electrons of Li_6 in the benzene context.¹ Robinson synthesized a π -delocalized $(GaR)_3^{2-}$ derivative, isoelectronic with the cyclopropenium ion.² The deservedly well-publicized collaborative theoretical and experimental research of Boldyrev and Wang³ has focused attention on the double aromaticity,⁴ in-plane as well as π , of main group metal rings and related clusters.^{5,6} For instance, the isolated Al_4^{2-} ion is characterized as aromatic on the basis of

contributions from both σ - and π -orbitals.^{3,5d,g} The aromaticity of post-lanthanide element rings involving mercury also has been recognized.⁷

The electron distributions in the incompletely filled subshells of coinage metal elements (e.g., $Cu\ 3d^{10}4s^1$) and of aluminum ($3s^23p^1$) are isolobal. This analogy between the filled d^{10} shell in the coinage metals and the s^2 electron pair in aluminum prompted us to explore the aromaticity in novel ring systems constructed from Cu, Ag, and Au (**1–3**, Figure 1).

Tsipis and Tsipis described a new class of aromatic hydrocopper rings, Cu_nH_n (where $n = 3$ to 6), recently.^{8,9} These clusters involve hydrogen bridging around the Cu_4 ring akin to the bridging alkyl and aryl groups in cyclic Cu_nR_n ($n = 4$ and 5) analogues.¹⁰ However, based on nucleus independent chemical shift (NICS)¹¹ values in the ring centers, the aromaticity of D_{4h} Cu_4H_4 **4** (NICS -4.2 ppm) was only marginal, and Cu_5H_5 (NICS -1.2 ppm) as well as Cu_6H_6 (NICS -0.2 ppm) were not aromatic.⁸ Even the NICS value of D_{3h} Cu_3H_3 (-8.4 ppm)

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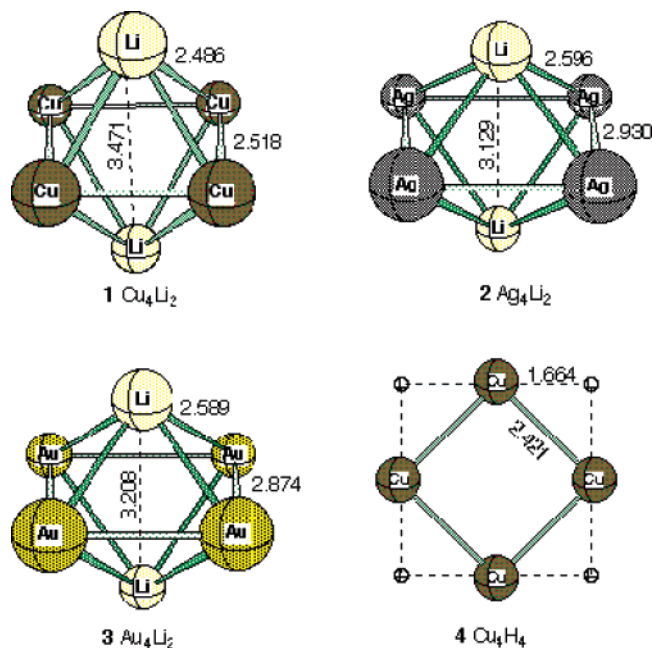


Figure 1. PW91PW91/LANL2DZ optimized geometries of Cu_4Li_2 , Ag_4Li_2 , Au_4Li_2 , and Cu_4H_4 . All structures are minima and have D_{4h} symmetry. Distances are in angstroms.

was much smaller than that of cyclopropane (-42.8 ppm)^{11d} and the cyclopropenyl cation (-23.4 ppm).^{11d} The MOs of Cu_4H_4 indicated d orbital involvement in the bonding, but the individual contributions of various orbitals to the total NICS were not investigated. Hence, no quantitative evidence for d orbital participation in cyclic electron delocalization was given. The Tsipis work,^{8,9a} published during the course of our studies, further stimulated our study.

Although copper has only a single electron in its valence s shell and a +1 oxidation state, it does not mimic the alkali metals in its chemical behavior. The involvement of Cu d shell electrons in various metal–metal interactions is well accepted in the antiferromagnetic¹² Cu(II) carboxylates and the cyclic alkyls and alkoxides Cu_4R_4 with $\text{R} = \text{CH}_2\text{SiMe}_3$ and OBU .¹³ The correlation of d shell electrons is important for simple systems such as Cu_2 .¹⁴ Furthermore, $\text{Cu}\cdots\text{Cu}$ interactions are responsible for the unusual electrical properties of the high-temperature cuprate superconductors.^{15,16}

- (11) Diamagnetic and paramagnetic ring current effects associated with aromaticity and antiaromaticity, respectively, are measured simply and effectively by NICS. NICS is based on the absolute magnetic shieldings computed at ab initio, DFT, and semiempirical (MNDO) levels; the signs are reversed to conform with the NMR chemical shift convention. Significantly negative NICS values in interior positions of ring or cages indicate aromaticity, whereas positive values denote antiaromaticity. See: (a) Schleyer, P. v. R.; Maerker, C.; Dransfeld, A.; Jiao, H.; Hommes, N. J. R. v. E. *J. Am. Chem. Soc.* **1996**, *118*, 6317. (b) Schleyer, P. v. R.; Jiao, H.; Hommes, N. J. R. v. E.; Malkin, V. G.; Malkina, O. L. *J. Am. Chem. Soc.* **1997**, *119*, 12669. (c) Schleyer, P. v. R.; Manoharan, M.; Wang, Z. X.; Kiran, B.; Jiao, H.; Puchta, R.; Hommes, N. J. R. v. E. *Org. Lett.* **2001**, *3*, 2465. (d) Wannere, C. S.; Corminboeuf, C.; Allen, W. D.; Schaefer, H. F., III; Schleyer, P. v. R. *Org. Lett.*, published online March 17, 2005 <http://dx.doi.org/10.1021/ol050118q>.
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Silver and gold, the higher congeners of copper, have the same electronic structures and similar ionization potentials. Furthermore, both Ag and Au are known to utilize d electrons in metal–metal bonding.^{9a,17} The present article employs NICS analysis of the individual molecular contributions (NBO–CMO–NICS)¹⁸ to demonstrate the involvement of d electrons in the aromaticity of the lithium-bridged coinage metal rings.

Computational Methods

The optimized cluster geometries were rather insensitive to the DFT levels employed. Hence, symmetry-constrained structures (D_{4h}) were optimized with the PW91PW91 functional and the LANL2DZ ECP basis with the Gaussian03 program.¹⁹ All of the computed harmonic vibrational frequencies were real. NICS values (in ppm) were computed at the cluster centers. The CMO–NICS analysis employed the NBO 5.0 program^{18a} at the same PW91PW91/LANL2DZ level.

Results and Discussion

Cu_4Li_2 (1) and Cu_4H_4 (4). The small Tsipis NICS value (-4.2)^{8a} indicated the aromatic character of the D_{4h} Cu_4H_4 hydrocopper(I) ring (4) to be marginal, despite the “highly delocalized σ -, π -, and δ -type [referring to the symmetry] MO’s.”^{8,9a} The electronic structure of our square planar Cu_4Li_2 complex differs significantly from the Tsipis Cu_4H_4 structure (4).⁸ At PW91PW91/LANL2DZ (Figure 1), the Cu–Cu separation (2.421 Å) in Cu_4H_4 (4) is somewhat smaller than that (2.518 Å) in Cu_4Li_2 (1). The H bridges in 4 along the ring perimeter have moderate covalent character, whereas the Li^+ cations above and below the Cu_4^{2-} square of 1 help bind the Cu_4Li_2 complex electrostatically (the NPA^{18a} Li charge is +0.8 versus -0.4 on H in 4).^{8a} Moreover, canonical MO analyses show that the atomic orbitals of the two capping lithiums do not contribute to the valence MOs of Cu_4^{2-} and to the total NICS in Cu_4Li_2 .

The contribution of d orbital delocalization to NICS of Cu_4H_4 (4)⁸ (and of Ag_4H_4 and Au_4H_4)^{9a} was not delineated quantita-

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Table 1. Total NICS, Valence MO Contributions to NICS, Their Total (NICS_{val}), and Energy Gaps for M₄Li₂ (M = Cu, Ag, Au) at the PW91PW91/LANL2DZ Level^a

MO	Cu ₄ Li ₂		Ag ₄ Li ₂		Au ₄ Li ₂	
	MO label	MO-NICS	MO label	MO-NICS	MO label	MO-NICS
19	a _{1g}	-10.88	a _{1g}	-4.84	a _{1g}	-9.37
20	a _{2u}	-0.54	b _{2g}	-0.95	b _{2g}	+0.38
21	e _u	-1.61	a _{2u}	-0.43	e _u	-2.00
22	e _u	-1.61	e _u	-0.44	e _u	-2.00
23	b _{2g}	-0.20	e _u	-0.44	a _{2u}	-1.11
24	a _{1g}	-1.24	e _g	-1.43	a _{1g}	-3.11
25	e _g	-2.00	e _g	-1.43	e _g	-1.88
26	e _g	-2.00	a _{1g}	-1.17	e _g	-1.88
27	a _{1g}	+1.21	b _{1u}	-2.15	b _{1u}	-2.87
28	b _{1u}	-2.12	e _u	+1.48	a _{1g}	-1.92
29	e _u	-0.45	e _u	+1.48	e _u	+0.05
30	e _u	-0.45	b _{2u}	+1.01	e _u	+0.05
31	e _u	+1.30	e _u	-0.26	e _u	+1.22
32	e _u	+1.30	e _u	-0.26	e _u	+1.22
33	b _{1g}	+0.11	b _{1g}	+0.40	b _{1g}	-0.05
34	b _{2u}	+0.61	e _g	-0.03	b _{2u}	+1.59
35	e _g	-0.15	e _g	-0.03	e _g	+0.27
36	e _g	-0.15	a _{1u}	+0.48	e _g	+0.27
37	a _{1u}	+0.37	b _{1g}	+2.36	a _{1u}	+0.92
38	b _{1g}	+1.73	a _{2g}	+1.05	b _{1g}	+3.48
39	a _{2g}	-0.48	a _{1g}	-6.10	a _{2g}	+1.30
40	e _u	+4.55	e _u	+2.01	e _u	+2.36
41	e _u	+4.55	e _u	+2.01	e _u	+2.36
NICS _{tot}		-14.47	-14.09		-18.55	
NICS _{val}		-8.15	-7.68		-10.72	
NICS _{dMO}		-6.37	-6.86		-6.07	
Gap, eV		1.42	1.83		1.77	

^a The same color scheme as that in Figure 2 represents each set of d orbitals. The sum of NICS for all these d orbital sets is NICS_{dMO}. The core MO contributions to NICS are not given by the LANL2DZ basis set.

tively by Tspis *et al.* There are problems in doing so, since the total d orbital contribution to NICS (NICS_{val}) is complicated by significant mixing between the 1s hydrogen and the in-plane 3d Cu orbitals. This occurs in seven of the 24 valence orbitals of Cu₄H₄ (see blue labeled MOs in Table S2). However, these sd hybrid MOs contribute paratropically to the total NICS and are not responsible for the diatropically based d orbital aromaticity.²⁰

The major diatropic contributions of the d orbitals of Cu₄H₄ (**4**) arise from the three valence MOs singled out by Tspis (HOMO-19, HOMO-17, HOMO-11 in ref 8), which do not have s orbital involvement. Specifically, the NICS contribution of the in-plane radial d orbital (MO41 in Table S2 or HOMO-19 in ref 8) is -5.8, only 1 ppm smaller than the total NICS (-6.8 at B3LYP/6-311+G(2d,2p); Tspis reported -4.8). The NICS of HOMO-11 (or MO49), involving a d_{z²}-d_{z²} overlap, is -3.6, and of HOMO-17 (or MO42), characterized by π-type overlap of d_{xz} AOs, is -2.6. At the same level of theory, the total NICS of Cu₄Li₂ (**1**) is more than two times larger (-14.2) than that of **4**, while the NICS of the valence MOs is nearly three times larger (-9.28).

The atomization energies of clusters, compared with analogous complexes, can serve as rough energetic indicators of

aromaticity. The PW91PW91/LANL2DZ computed atomization energy (AE) of Cu₄Li₂, 243.2 kcal/mol, is larger than the AE (215.9 kcal/mol) of the comparable doubly (σ and π) aromatic Al₄Li₂. However, both of these AEs are much smaller than the AE for Cu₄H₄ (419.2 kcal/mol; Tspis reported 376.1 kcal/mol at B3LYP/6-311+G**) owing to a larger number of atoms and the stronger and more covalent Cu-H bonding in the hydrocopper ring.

Like D_{4h} Al₄Li₂ (NICS -30.8) and C₄H₄Li₂ (-19.3) but unlike D_{4h} Al₄Li₂ (which is not a minimum and only has NICS -5.5) and Cu₄H₄ (**4**) (NICS -4.2), Cu₄Li₂ (D_{4h} **1**), a square planar copper dianion capped by two lithium cations, exhibits pronounced diatropic character (NICS -14.5, see Table 1). The energy ordering of the valence MOs of the Cu₄Li₂ dianion (depicted in Figure 2)²¹ shows that the contributions of the higher energy MOs to NICS are relatively small or even paratropic (see Table 1). The largest single diatropic contribution (CMO-NICS = -10.9) is given by the lowest-lying totally symmetric valence MO (a_{1g}); this MO is dominated by the 4s AO components (see later discussion). However, the total +9.2 contribution from the highest energy e_u set, also having considerable 4s character, largely cancels the a_{1g} effect.

(20) NICS values of the analogous Cu₄Li₄ (D_{4h}) are not presented here since it has five imaginary vibrational frequencies and an unstable wave function.

(21) This orbital ordering may depend on the theoretical level. Thus, at the PW91PW91/LANL2DZ level, the lowest b_{2g} bonding orbital has nearly the same energy (<0.005 eV) as the lowest valence e_u orbital set.

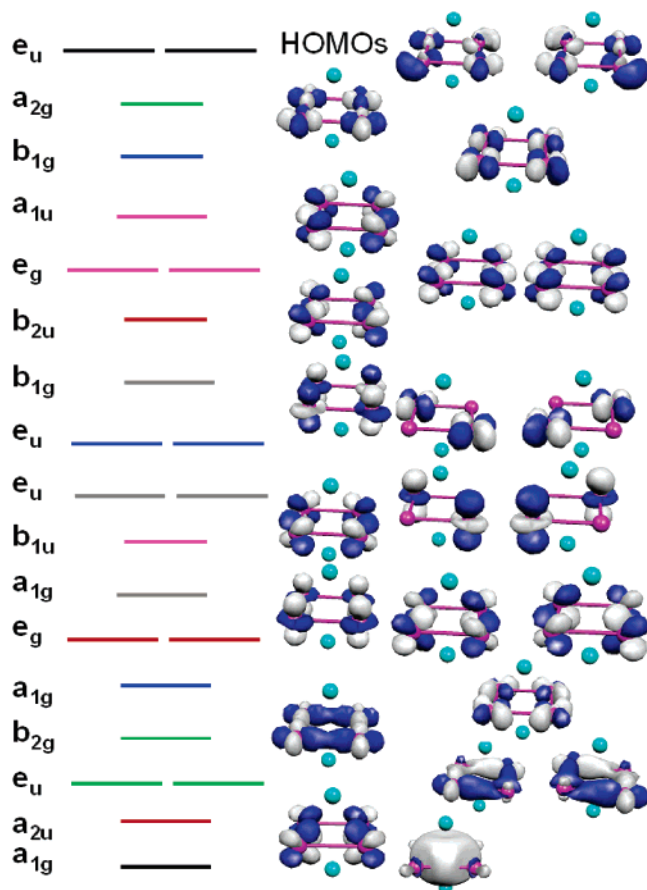


Figure 2. Valence molecular orbital patterns and symmetry labels of Cu_4Li_2 . The molecular orbitals dominated by the d_{xy} atomic orbital contributions are displayed in blue, by d_{z^2} in gray, and by $d_{x^2-y^2}$ in green. The linear combination of the tangential d_{xz} and d_{yz} orbitals are displayed in red and pink. The lowest and highest energy valence a_{1g} MOs with substantial s character are shown in black.

The 40 d electrons characterizing square planar Cu_4^{2-} are distributed over five sets of d orbitals (d_{z^2} , $d_{x^2-y^2}$, d_{xy} , d_{xz} , and d_{yz}) in an environment, which, obviously, is not spherically symmetrical. Hence, the shape and orientation of these filled d orbitals are important in determining the magnetic properties of the transition metal cluster. In general, each set of four filled d orbitals in Cu_4^{2-} of **1** (identified by color in Figure 2) has the same energy pattern:²¹ first, a bonding MO, then a doubly degenerate set of nonbonding MOs, and finally an antibonding MO. As expected, the NICS sum of each of these five different d orbital sets contributes differently to the total NICS value; e.g., the blue set (Figure 2 and Table 1, resulting from the combination of the d_{xy} AOs) contributes paratropically (+3.1), whereas that of the gray MO set (from the d_{z^2} combinations) is close to zero (+0.42). The three other sets of d MOs are diatropic (−3.9 green, −3.9 red, and −2.1 pink, Table 1). Taken individually, the diatropic contribution of each d orbital to NICS is obviously much smaller than a typical π MO contribution in a p -block compound.^{11,16b,22} However, there are many more diatropic filled d orbitals in **1–3** than filled $p\pi$ orbitals in, for example, benzene. The total of all the diatropic d MO contributions ($\text{NICS}_{\text{dMO}} = -6.4$, Table 1) results in significant overall d orbital aromaticity in Cu_4Li_2 .

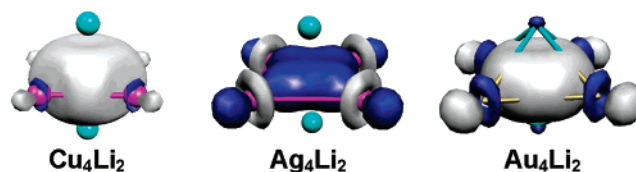


Figure 3. Depictions of the strongly diatropic lowest a_{1g} MOs in M_4Li_2 ($M = \text{Cu}, \text{Ag}, \text{Au}$).

Ag_4Li_2 (2). Although the transition metal–metal distance in Ag_4Li_2 (2.930 Å at the PW91PW91/LANL2DZ level, Figure 1) is 0.4 Å longer than that in Cu_4Li_2 , the total NICS values of the silver (−14.1) and copper (−14.5) complexes are almost the same (Table 1) and are larger than that of Tsipis's D_{4h} Ag_4H_4 (−4.9) and Cu_4H_4 (−4.8) NICS.^{9a} The overall d MO contributions to NICS are −6.9 for **2** versus −6.4 for **1**. However, the detailed CMO–NICS analyses (Figure 2, Table 1) of the silver (**2**) and copper (**1**) species differ significantly in two particular aspects. First, the diatropicity of the lowest energy valence orbital (a_{1g}) NICS contribution is reduced substantially from −10.9 in **1** to −4.8 in **2** (see Figure 3). The −4.8 value is largely counterbalanced by the paratropic e_u HOMO contributions (+4.2). Second, most of the d orbital aromatic character of Ag_4Li_2 (total −6.9) arises from the anomalously diatropic highest a_{1g} MO (−6.1); the contributions of all the other d MOs in Table 1 largely balance.

The molecular orbital patterns of **2** are qualitatively similar to those of Cu_4Li_2 (Figure 1) except for the two a_{1g} MOs discussed above. Figure 3 compares the lowest a_{1g} MOs of **1**, **2**, and **3**. Variations in the atomic coefficients contributing to the a_{1g} molecular orbitals are responsible for the differences. The lowest-lying a_{1g} MO of the copper complex (**1**) is dominated by s basis functions (0.240 versus −0.082 for the second and third set of s valence basis functions, respectively). However, the contributions of the second (−0.102) and third sets (0.107) of s basis functions to the lowest a_{1g} valence molecular orbital of the silver compound (**2**) are equal in magnitude but opposite in sign. This partial cancellation results in a flatter shape for the lowest a_{1g} MO of **2** compared to the copper analogue (**1**) (see Figure 3). Consequently, the s orbital delocalization becomes weaker (lower MO–NICS) in Ag_4Li_2 than in Cu_4Li_2 . In addition, 4d (second row) transition metals form metal–metal bonds more readily than 3d transition metals. Consequently, the contribution of the d atomic orbitals to the lowest a_{1g} MO is much larger in the silver compound (see Figure 3 and Table 1).

The difference between the highest-lying a_{1g} MOs of **1** and **2** can be analyzed similarly (see Supporting Information). While the same d basis functions contribute to the highest a_{1g} MOs of both compounds, their coefficients differ significantly in magnitude. This results in greater d delocalization in the 4d metal silver complex (**2**) than the 3d copper complex (**1**). For example, the 7D 0 AO coefficient is 0.4128 for copper but is much smaller, 0.1307, for silver (SI, Table).

Au_4Li_2 (3). The gold complex (**3**) exhibits the largest diatropic character (greatest NICS −18.6) among the three coinage metal complexes (**1–3**) (Table 1). The same is true of Tsipis *et al.*'s Au_4H_4 D_{4h} (−9.0) versus Cu_4H_4 (−4.8) and Ag_4H_4 (−4.9). The valence CMO total of **3** ($\text{NICS}_{\text{val}} = -10.7$) also is larger than that of **1** (−8.1) or **2** (−7.7), but not as much. In fact, if only the contribution sum of the d MO sets (colored in Table 1) are

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considered, the “d-aromaticity” of Au_4Li_2 (**3**) is slightly smaller ($\text{NICS}_{\text{dMO}} = -6.1$ versus -6.4 for **1** and -6.9 for **2**, Table 1).

The diatropic NICS contribution of the lowest energy MO (a_{1g}) NICS of **3** (-9.4 , Figure 2) is almost as large as that of Cu_4Li_2 (-10.9 , Table 1). Figure 3 shows that the lowest valence a_{1g} MO of Au_4Li_2 (**3**) is intermediate in appearance between that of **1** and **2**. As in Ag_4Li_2 (**2**), this a_{1g} MO of **3** is dominated by the s and d basis functions, similar to the situation except that the coefficients associated with the s basis functions are not opposed in sign in the gold compound. As in Ag_4Li_2 (**2**), this a_{1g} MO of **3** is dominated by the s and d basis functions, but their coefficients have the same sign (unlike the Ag compound).

The major difference in the valence CMO–NICS values between the copper and the gold complexes arises from the reduced paratropic character ($+2.4$ versus $+4.6$) of the doubly degenerate HOMO (which depends on the energy gap),²² as well as the larger diatropic contributions of the two highest a_{1g} MOs (-3.1 and -1.9 for **3** versus -1.2 and $+1.2$ for **1**). In short, the electronic structure of the gold complex benefits from both the more pronounced d orbital delocalization characterizing 4d and 5d metals as well as the strong s orbital delocalization of the lowest MO.

Not surprisingly, Au_4Li_2 (**3**) behaves somewhat differently than **1** and **2**. Relativistic effects are more important in 5d transition metal clusters and result in bond shortening (Figure 1) owing to more contracted s and p subshells (the so-called relativistic stabilization).^{23,24} In addition, the d (and f) subshell becomes more diffuse. The Au–Au distances (2.874 \AA) in Au_4Li_2 (**3**) are intermediate between the Cu–Cu (**1**) and Ag–Ag (**2**) distances (Figure 1). The larger diatropic character of Au_4Li_2 (total NICS) compared to that of Cu_4Li_2 and Ag_4Li_2 is consistent with the discovery of many more stable gold cluster compounds^{25,26} than their lighter coinage metal counterparts.

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Conclusions

Our DFT study of the coinage transition metal clusters, $(\text{M}_4^{2-})(2\text{Li}^+)$, where M = Cu (**1**), Ag (**2**), and Au (**3**), discloses distinct differences from other square X_4^{2-} systems (which have p orbital involvement, as in X = CH and Al). The $\text{M}_4^{2-}\cdot 2\text{Li}^+$ set is aromatic owing to the participation of the transition metal d orbitals. Moreover, we find that the related Cu_4H_4 hydrocopper cluster⁸ also benefits from diatropic d orbital contributions (in particular from the in-plane radial d MO) as well as from orbitals with some s character. The large negative NICS values in Cu_4Li_2 , Ag_4Li_2 , and Au_4Li_2 mainly arise from d orbital (and some s) contributions (p orbital involvement is negligible). This provides the first quantitative evidence for the existence of “d orbital aromaticity” in transition metal rings. Furthermore, the NICS values indicate that the diatropic character of Au_4Li_2 is largest (possibly a relativistic effect), while those of Cu_4Li_2 and Ag_4Li_2 are nearly the same.

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Supporting Information Available: MO coefficients for the highest-lying a_{1g} MO in M_4Li_2 at the PW91/LANL2DZ level; total NICS, valence MO contributions to NICS, their total (NICS_{val}) for Cu_4H_4 at the B3LYP/6-311+G(2d,2p)//PW91PW91/LANL2DZ level; and PW91PW91/LANL2DZ optimized geometries of Cu_4Li_2 , Ag_4Li_2 , Au_4Li_2 , and Cu_4H_4 . This material is available free of charge via the Internet at <http://pubs.acs.org>.

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